08-29-01





### **EXPRESS MAIL NO.: EL 501 641 358 US**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Charbonneau et al.

Serial No.: 09/846,891

Group Art Unit: 2122

Filed: May 1, 2001

Examiner: TBA

For:

SYSTEM AND METHOD FOR

VALUATION OF COMPANIES

Attorney Docket No.: 10714-003

# **RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Assistant Commissioner for Patents Box Missing Parts** Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated June 27, 2001, applicant submits herewith an executed Declaration, substitute drawings in compliance with 37 CFR 1.84, and a Verified Statement Claiming Small Entity Status for the above-identified application.

Pursuant to 37 CFR 1.16(e), the fee required for this submission is \$65.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

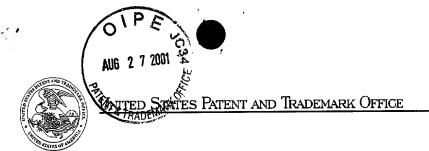
Respectfully submitted, (Reg. No. 38, 704)

Date: 8/27/01

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711 (212) 790-9090

**Enclosures** 





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/846,891

PENNIE AND EDMONDS

NEW YORK, NY 100362711

1155 AVENUE OF THE AMERICAS

05/01/2001

Louis Charbonneau

10714-0003-999

**CONFIRMATION NO. 5898** 

FORMALITIES LETTER

\*OC000000006234311\*

Date Mailed: 06/27/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

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FILED UNDER 37 CFR 1.53(b)

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### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE